


INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701



U.S.PATENTS

[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/CL/	1	5121345		1992-06-09	Lentz	
	2	5919257		1999-07-06	Trostle	
	3	5956481		1999-09-21	Walsh, et al.	
	4	6671812		2003-12-30	Balasubramaniam, et al.	
	5	7010698		2002-08-22	Sheymov	
	6	6836272		2004-12-28	Leung, et al.	
	7	7331062		2008-02-12	Alagna, et al.	
	8	6182227		2001-01-30	Blair, et al.	


**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10733655
Filing Date		2003-12-11
First Named Inventor	Michael Alagna	
Art Unit		2167
Examiner Name	Unknown	
Attorney Docket Number		6002-00701

/CL/	9	6330588		2001-12-11	Freeman	
	10	6523120		2003-02-18	Strasnick	
	11	5440723		1995-08-08	Arnold, et al.	
	12	5537540		1996-07-16	Miller, et al.	
	13	5802277		1998-09-01	Cowlard	
	14	6088804		2000-07-11	Hill, et al.	
	15	6272641		2001-08-07	Ji	
	16	6266774		2001-07-24	Sampath, et al.	
	17	6393568		2002-05-21	Ranger, et al.	
	18	6792543		2004-09-14	Pak, et al.	
	19	6859833		2005-02-22	Kirsch, et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10733655
Filing Date		2003-12-11
First Named Inventor	Michael Alagna	
Art Unit		2167
Examiner Name	Unknown	
Attorney Docket Number		6002-00701

/CL/	20	6466966		2002-10-15	Kirsch, et al.	
	21	6438125		2002-08-20	Brothers	
	22	5870546		1999-02-09	Kirsch	
	23	7072944		2006-07-04	Lalonde, et al.	
	24	6785732		2004-08-31	Bates, et al.	
	25	7096500		2006-08-22	Roberts, et al.	
	26	6654787		2003-11-25	Aronson, et al.	
	27	5999932		1999-12-07	Paul	
	28	6052709		2000-04-18	Paul	
	29	6023700		2000-02-08	Owens, et al.	
	30	6023723		2000-02-08	McCormick, et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10733655
Filing Date		2003-12-11
First Named Inventor	Michael Alagna	
Art Unit		2167
Examiner Name	Unknown	
Attorney Docket Number		6002-00701

/CL/	31	6073165		2000-06-06	Narasimhan, et al.	
/CL/	32	6112227		2000-08-29	Heiner	
/CL/	33	6146026		2000-11-14	Ushiku	
/CL/	34	6157630		2000-12-05	Adler, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add


U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/CL/	1	20020150243		2002-10-17	Craft, et al.	
/CL/	2	20020174137		2002-11-21	Wolff, et al.	
/CL/	3	20030159070		2003-08-21	Mayer, et al.	
/CL/	4	20050137980		2005-06-23	Bullock, et al.	
/CL/	5	20040054917		2004-03-18	Obrecht, et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10733655
Filing Date		2003-12-11
First Named Inventor	Michael Alagna	
Art Unit		2167
Examiner Name	Unknown	
Attorney Docket Number		6002-00701

/CL/	6	20040064736		2004-04-01	Obrecht, et al.	
	7	20040187023		2004-09-23	Alagna, et al.	
	8	20040230820		2004-11-18	Hui Hsu, et al.	
	9	20040078422		2004-04-22	Toomey	
	10	20020046065		2002-04-18	Nighan, et al.	
	11	20020147780		2002-10-10	Liu, et al.	
	12	20060053490		2006-03-09	Herz, et al.	
	13	20050108339		2005-05-19	Gleeson, et al.	
	14	20050108340		2005-05-19	Gleeson, et al.	
	15	20060288076		2006-12-21	Cowings, et al.	
	16	20020007301		2002-01-17	Reuning	


INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10733655
Filing Date		2003-12-11
First Named Inventor	Michael Alagna	
Art Unit		2167
Examiner Name	Unknown	
Attorney Docket Number		6002-00701

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
/CL/	1	0203178	WO		2002-01-10	INTERNET SECURITY SYSTEMS INC (US)		<input type="checkbox"/>
	2	04021197	WO		2004-03-11	WHOLESECURITY INC (US)		<input type="checkbox"/>
	3	04055632	WO		2004-07-01	WHOLESECURITY INC (US)		<input type="checkbox"/>
	4	2004072777	WO		2004-08-26	WHOLESECURITY INC (US)		<input type="checkbox"/>
	5	02103533	WO		2002-12-27	WORLDCOM INC (US)		<input type="checkbox"/>
	6	0375138	EP		1990-06-27	IBM (US)		<input type="checkbox"/>
	7	0420779	EP		1991-04-03	IBM (US)		<input type="checkbox"/>
	8	0720333	EP		1996-07-03	AT & T CORP (US)		<input type="checkbox"/>
	9	2271002	GB		1994-03-30	DIGITAL EQUIPMENT INT (CH)		<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

/CL/	10	9635994	WO		1996-11-14	COMPUSERVE INC (US)		<input type="checkbox"/>
------	----	---------	----	--	------------	---------------------	--	--------------------------

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
/CL/	1	U. S. Patent Application Serial Number 10/117,065, entitled "APPARATUS AND METHOD FOR WEIGHTED AND AGING SPAM FILTERING RULES", by Aronson, et al., filed on April 4, 2002.	<input type="checkbox"/>
↓	2	U. S. Patent Application Serial Number 10/871,583, entitled "System and method for filtering spam messages utilizing URL filtering module", by Cowings, et al., filed on June 17, 2004.	<input type="checkbox"/>
↓	3	U. S. Patent Application Serial Number 11/116,572, entitled "Method and apparatus for creating aggressive anti-spam rules", by Chin, et al., filed on April 27, 2005.	<input type="checkbox"/>
↓	4	U. S. Patent Application Serial Number 11/127,814, entitled "Method and apparatus for detecting spam in email messages and email attachments", by Cowings, filed on May 11, 2005.	<input type="checkbox"/>
↓	5	U. S. Patent Application Serial Number 11/157,327, entitled "Method and apparatus for grouping spam email messages", by Jensen, filed on June 20, 2005.	<input type="checkbox"/>
↓	6	U. S. Patent Application Serial Number 11/048,958, entitled "Method and apparatus for determining the source of an email message", by Mantel, filed on February 1, 2005.	<input type="checkbox"/>
↓	7	U. S. Patent Application Serial Number 11/127,813, entitled "Method and apparatus for simulating end user responses to spam email messages", by Khalsa, et al., filed on May 11, 2005.	<input type="checkbox"/>
↓	8	Gaskin, J.E., "Don't get spammed", Information Week, August 18, 1997, retrieved from Internet: http://www.informationweek.com/644/44olspm.htm , 9 pages.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10733655
Filing Date	2003-12-11
First Named Inventor	Michael Alagna
Art Unit	2167
Examiner Name	Unknown
Attorney Docket Number	6002-00701

/CL/	9	RANUM, ET AL.; "Implementing a generalized tool for network monitoring"; Proceedings of the 11th Systems Administration Conference"; San Diego, CA; Oct. 26-31, 1997; pp. 1-8.	<input type="checkbox"/>
/CL/	10	U. S. Patent Application Serial Number 10/949,465, entitled "System and method for filtering fraudulent email messages", by Bruno, et al., filed on September 24, 2004.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button **Add**

EXAMINER SIGNATURE

Examiner Signature	/Cheryl Lewis/	Date Considered	07/05/2009
--------------------	----------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.